

SAO 440 (Rev. 8/01) Summons in a Civil Action

UNITED STATES DISTRICT COURT

Eastern Division

District of

Massachusetts

STEVEN G. WICKS and
GERALD A. KALBFLEISCH

v.

PUTNAM INVESTMENT
MANAGEMENT, LLC and
PUTNAM RETAIL
MANAGEMENT, LLP

SUMMONS IN A CIVIL ACTION

04 10988 DPW

CASE NUMBER:

TO: (Name and address of Defendant)

William Woolverton, Registered Agent
PUTNAM INVESTMENT MANAGEMENT, LLP
One Post Office Square
Boston, MA 02109

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Jonathan D. Mutch, Esq.
ROBINS, KAPLAN, MILLER & CIRESI L.L.P.
111 Huntington Avenue - Suite 1300
Boston, MA 02199-7610

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

TONY ANASTAS

CLERK

(By) DEPUTY CLERK



JUN 18 2004

DATE

RETURN OF SERVICE

Service of the Summons and Complaint was made by me (1)	DATE June 09, 2004
NAME OF SERVER BURTON M. MALKOFSKY	TITLE Process Server / A Disinterested Person

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served: _____, MASSACHUSETTS
- ☐ Left copies thereof at the defendant's dwelling or usual place of abode with a person of suitable age and discretion then residing therein. Name of person whom the summons and complaint were left: _____
- Said service was made at: _____, MASSACHUSETTS
- ☒ Other: By handing true and attested copies thereof to Mr. Joe Bacon, Compliance Manager and
Duly Authorized Agent for the within-named Defendant, Putnam Investment Management, LLP.
Said service was made at: 2 Liberty Square, Boston, MASSACHUSETTS

STATEMENT OF SERVICE FEES

SERVICE FEE \$ 68.00 2 Trips

DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on June 09, 2004
Date

Burton M Malkofsky
Signature of Server

One Devonshire Place, Boston, Massachusetts
Address of Server

ADDITIONAL INFORMATION

PLEASE NOTE THAT IT WAS NECESSARY TO MAKE 2 ATTEMPTS BEFORE MAKING PROPER SERVICE.

Date	Time	Remarks	FEE
6/9/04		No service at One Post Office Sq., Boston, Ma. - Security Guard informed Process	\$ 34.00
		Server service must be made at 2 Liberty Sq., Boston, Ma.....	\$
6/9/04		Service was made at 2 Liberty Sq., Boston, Ma.....	\$ 34.00
			\$
			\$
			\$
		TOTAL	\$ 68.00

(1) As to who may serve a summons see Rule 4 to the Federal Rules of Civil Procedure.